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Attorney Docket No.: 42390P8112

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

CARL M. ELLISON, ET AL.

Application No.: 09/540,611

Filed: March 31, 2000

For: **MANAGING ACCESSES IN A
PROCESSOR FOR ISOLATED
EXECUTION**

Art Group: 2782

Examiner: Unassigned

Technology Center 2100
SEP 13 2001

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

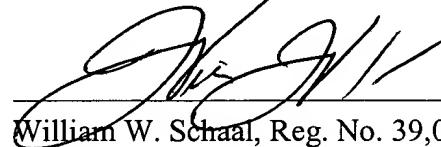
In accordance with the duty of disclosure under 37 CFR § 1.56 and in accordance with 37 C.F.R. § 1.97, enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of the Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any additional fee due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP



William W. Schaal, Reg. No. 39,018

Date: September 04, 2001
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

GAU 2282
2182

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission	7	Application No.	09/540,611
		Filing Date	March 31, 2000
		First Named Inventor	Carl M. Ellison
		Group Art Unit	2782
		Examiner Name	Unassigned
		Attorney Docket Number	42390P8112

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ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Terminal Disclaimer	<div style="border: 1px solid black; padding: 5px;">PTO-1449 with cited references.</div>
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	
	The total number of pages does not include the twenty-four cited references.	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	William W. Schaal, Reg. No. 39,018 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	September 4, 2001

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Typed or printed name	Laura Robles		
Signature		Date	September 4, 2001

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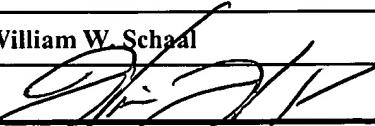
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PTO/SB/17 (12/99)

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 <small>Patent fees are subject to annual revision.</small>		Complete if Known				
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METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)				
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:		3. ADDITIONAL FEE				
Deposit Account Number 02-2666		Large Entity Small Entity				
Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP		Fee Code	Fee Code	Fee Fee	Fee Description	
<input checked="" type="checkbox"/> Charge Any Additional Fee(s) Required Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.		105	130	205	65 Surcharge - late filing fee or oath	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.		127	50	227	25 Surcharge - late provisional filing fee or cover sheet.	
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		139	130	139	130 Non-English specification	
FEE CALCULATION		147	2,520	147	2,520 For filing a request for reexamination	
1. BASIC FILING FEE		112	920*	112	920*Requesting publication of SIR prior to Examiner action	
Large Entity Small Entity		113	1,840*	113	1,840*Requesting publication of SIR after Examiner action	
Large Entity Small Entity		115	110	215	55 Extension for response within first month	
Large Entity Small Entity		116	390	216	195 Extension for response within second month	
Large Entity Small Entity		117	890	217	445 Extension for response within third month	
Large Entity Small Entity		118	1,390	218	695 Extension for response within fourth month	
Large Entity Small Entity		128	1,890	228	945 Extension for response within fifth month	
Large Entity Small Entity		119	310	219	155 Notice of Appeal	
Large Entity Small Entity		120	310	220	155 Filing a brief in support of an appeal	
Large Entity Small Entity		121	270	221	135 Request for oral hearing	
Large Entity Small Entity		138	1,510	218	138 1,510 Petition to institute a public use proceeding	
Large Entity Small Entity		140	110	240	55 Petition to revive - unavoidable	
Large Entity Small Entity		141	1,240	241	620 Petition to revive - unintentional	
Large Entity Small Entity		142	1,240	242	620 Utility issue fee (or reissue)	
Large Entity Small Entity		143	440	243	220 Design issue fee	
Large Entity Small Entity		144	600	244	300 Plant issue fee	
Large Entity Small Entity		122	130	122	130 Petitions to the Commissioner	
Large Entity Small Entity		123	50	123	50 Petitions related to provisional applications	
Large Entity Small Entity		126	180	126	180 Submission of Information Disclosure Stmt	
Large Entity Small Entity		581	40	581	40 Recording each patent assignment per property (times number of properties)	
Large Entity Small Entity		146	710	246	355 Filing a submission after final rejection (37 CFR 1.129(a))	
Large Entity Small Entity		149	710	249	355 For each additional invention to be examined (37 CFR 1.129(b))	
Large Entity Small Entity		179	710	279	355 Request for Continued Examination (RCE)	
Large Entity Small Entity		169	900	169	900 Request for expedited examination of a design application	
Large Entity Small Entity		Other fee (specify) _____ Other fee (specify) _____		SUBTOTAL (3) (\$)		
Large Entity Small Entity		*Reduced by Basic Filing Fee Paid		SUBTOTAL (3) (\$)		
SUBMITTED BY						Complete (if applicable)
Name (Print/Type) William W. Schaal		Registration No. (Attorney/Agent) 39,018		Telephone (714) 557-3800		
Signature 				Date 09/04/01		

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